

JC529
12/01/97
U.S. PTOAssistant Commissioner of Patents
Washington, D. C. 20231

Sir:

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of:

Inventor(s): Thomas J. Kipps, Sanjai Sharma, Mark Cantwell

For: **NOVEL EXPRESSION VECTORS CONTAINING ACCESSORY MOLECULE LIGAND GENES AND THEIR USE FOR IMMUNOMODULATION AND TREATMENT OF MALIGNANCIES AND AUTOIMMUNE DISEASE**

Enclosed are:

110 page(s) specification; 8 page(s) claims;
1 page(s) abstract.

30 sheets of drawings (informal formal)

Declaration and Power of Attorney (signed unsigned)

Assignment of the invention to: _____

Certified copy(s) of priority documents ()

A Verified Statement Claiming Small Entity Status under 37 CFR §1.9 and §1.27.

Sequence Listing and Statement and Sequence Listing.

Preliminary Amendment

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as Express Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

TB608349181 US
Express Mail mailing no.

December 1, 1997
date of deposit

Jeanette M. Olivera
name of person mailing paper

Jeanette M. Olivera
signature of person mailing paper

The filing fee has been calculated as shown below:

-Statutory Basic Filing Fee		(\$790)	\$ 790.00
-Total Claims	<u>83</u>	(-20 <u>63</u> x \$22)	\$1,386.00
-Independent Claims	<u>17</u>	(-3 <u>14</u> x \$82)	\$1,148.00
-Multiple Dependent Claim(s)		(\$270)	\$ 270.00
-Surcharge 37 CFR 1.16(e)		(\$130)	\$ 0.00
TOTAL OF ABOVE CALCULATIONS			\$3,594.00
-Reduction for Small Entity (%)			\$1,797.00
-Assignment		(\$40)	\$ 0.00
TOTAL FEES SUBMITTED HEREWITH			\$1,797.00

— This application is filed without fee or signed declaration pursuant to 37 CFR §1.53.

X A check in the amount of \$1,797.00 to cover the above fees is enclosed.

X The Commissioner is hereby authorized to charge payment of the fees associated with this communication, or credit any overpayment, to Deposit Account 12-2475. A duplicate copy of this sheet is enclosed.

Respectfully Submitted

LYON & LYON

Dated: 12/1/97

Jeff Guise
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:) Group Art Unit:
Thomas J. Kipps et al.)
Serial No. To Be Assigned) Examiner:
Filed: Herewith)
For: NOVEL EXPRESSION VECTORS)
CONTAINING ACCESSORY)
MOLECULE LIGAND GENES AND)
THEIR USE FOR IMMUNO-)
MODULATION AND TREATMENT OF)
MALIGNANCIES)

STATEMENT UNDER 37 C.F.R. § 1.821 (F)

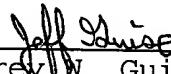
Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

I hereby state that the content of the paper and computer readable copies of the Sequence Listing, submitted in accordance with 37 C.F.R. § 1.821 (e), (f) and (g), or § 1.825 (d) and (b) respectively, are the same.

Respectfully submitted,

LYON & LYON LLP



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